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4

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))
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Date: June 22, 2000
Attorney Docket No.: 450117-02632

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231
Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Besma KRAIEM, Janos ENDERLEIN

entitled:

TRANSMIT POWER CONTROL FOR NETWORK DEVICES IN A WIRELESS NETWORK

The following are enclosed:

- ☒ Specification (8 pages)
- ☒ 3 Sheet(s) of Drawings
- ☒ 12 Claim(s) (including 2 independent claim(s))
- ☐ This application contains a multiple dependent claim

- ☒ Our check for \$ 690.00, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$690.00 (\$345.00)	\$ 690.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	-0-
Number of Independent Claims in excess of 3 at \$78.00 (\$39.00) each:	-0-
Multiple Dependent Claim Fee at \$260.00 (\$130.00)	-0-
Total Filing Fee	\$ 690.00
Assignment Recording Fee \$40.00	-0-

- ☒ Oath or Declaration and Power of Attorney
 - ☒ New ☐ signed ☒ unsigned
 - ☐ Copy from a prior application (37 C.F.R. 1.63(d))

- ☐ Certified copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration:

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
------------------------	--------------	-----------

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By William S. Frommer
William S. Frommer
Reg. No. 28,506

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FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE NEW YORK, NEW YORK 10151



June 22, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application
Applicants: Besma KRAIEM, Janos ENDERLEIN
Our Ref.: 450117-02632

Dear Sir:

Enclosed are papers constituting the above patent application which is being filed under 37 C.F.R. 1.53 without a signed Declaration. Please accord a filing date and a serial number to such application and inform the undersigned thereof so that a signed Declaration and the surcharge required by 37 C.F.R. 1.16(e) may be duly filed.

Please address all correspondence to:

William S. Frommer, Esq.
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

Respectfully,

William S. Frommer
Reg. No. 25,506
Attorney for Applicants
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Date of Deposit June 22, 2000

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Charles Jackson

(Typed or printed name of person mailing paper or fee)

Charles Jackson

(Signature of person mailing paper or fee)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Besma KRAIEM et al.
Filed : Herewith
For : TRANSMIT POWER CONTROL FOR NETWORK DEVICES IN A
WIRELESS NETWORK

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(Typed or printed name of person
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Charles Jackson
(Signature of person mailing paper or fee)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Before the issuance of the first Official Action,
please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Claim 3, line 1, delete "or 2";

Claim 4, line 1, delete "anyone of claims 1 to 3" and
insert --claim 1--;

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Claim 5, line 1, delete "anyone of claims 1 to 4" and
insert --claim 1--;

Claim 8, line 1, delete "or 7";

Claim 9, line 1, delete "or 7";

Claim 10, line 1, delete "or 7";

Claim 11, line 1, delete "anyone of claims 6 to 10" and
insert --claim 6--;

Claim 12, line 1, delete "anyone of claims 6 to 10" and
insert --claim 6--;

Claim 12, line 2, delete "anyone of claims 1 to 6" and
insert --claim 1--.

REMARKS

The claims have been amended to eliminate multiple dependencies. The filing fee has been calculated based upon these amendments to the claims.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By William S. Frommer
William S. Frommer
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PATENT
450117-02632

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

TITLE: TRANSMIT POWER CONTROL FOR NETWORK
DEVICES IN A WIRELESS NETWORK

INVENTORS: Besma KRAIEM, Janos ENDERLEIN

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Description

1 The present invention relates to a transmit power control for network devices in a wireless network, in particular to a transmit power control to be performed by network devices communicating in direct mode.

- 5 A typical wireless network, such as the IEEE 1394 based HIPERLAN type 2 broadband radio access network which specification is developed by ETSI is shown in Fig. 3. An access point or central controller 18 has an up- and downlink communication with several mobile terminals 1, 15, 16, and 17 and the mobile terminals can also have a direct communication in-between each other
- 10 so that apart from the granting of resources for peer mobile terminals, e. g. the first mobile terminal 1 and the second mobile terminal 15, the access point or central controller 18 is not involved in the communication. Such direct communications in-between two or more mobile terminals are called direct mode. An IEEE 1394 bus with connected network devices is exemplary shown only for
- 15 the fourth mobile terminal 17.

- For access point based wireless networks, i. e. for up- and downlink traffic, a transmit power control method is known according to which for uplink transmit power control the access point or central controller indicates its used
- 20 transmit power and its desired received power levels. Based on these values and a measurement of the received signal strength each of the mobile terminals regulates its transmit power level to achieve a constant received power at the access point or central controller 18. Thus, each of the first to fourth mobile terminals 1, 15, 16, 17 regulates its transmit power level individually
- 25 independent of the transmit power of the other mobile terminals. Furtheron, the transmit power level of the access point or central controller 18 is often chosen so that all mobile terminals 1, 15, 16, 17 have sufficient reception.

- On the other hand, no transmit power control is performed in direct mode, but
- 30 the mobile terminals always use a maximum transmit power level to be able to establish the maximum number of direct communications. Therefore, all the advantages of transmit power control introduced for up- and downlink traffic disappear when direct connections are set up.

Therefore, it is the object of the present invention to achieve the advantages of a transmit power control within a wireless network not only in up- and down-

1 link mode, but also in direct mode.

To solve this object a network device according to the present invention is defined in independent claim 1 and a method to perform a transmit power control in-between two network devices of a wireless network according to the present invention is defined in independent claim 6. Preferred embodiments thereof are respectively defined in the dependent claims.

10 A network device for a wireless network according to the present invention is characterized by means to adjust its transmit power on the basis of a recommendation for the transmit power regulation received from another network device and to generate a recommendation for the transmit power regulation for another network device on basis of the signal received from said other network device.

15 Therefore, a network device according to the present invention enables a direct setting of the transmit power for a communication in-between peer network devices by exchanging messages in which recommendations for power control are carried.

20 A method to perform a transmit power control in-between a first network device and a second network device of a wireless network according to the present invention therefore comprises the steps to transmit a message from the first network device to the second network device, measuring the received signal quality within the second network device and replying to the first network device by giving a recommendation to the first network device how to adjust its power level according to the measured received signal quality and the wanted received signal quality.

30 Therefore, according to the inventive method, a receiving network device communicates a recommendation to the sending network device how to increase or decrease its transmit power level. This directly leads to an adaptation of the received signal strength to the signal strength desired by the receiving device. Therefore, the receiving device itself can adjust the strength of a signal incoming from another device to its optimal signal reception point.

35 Preferably, a network device according to the present invention ensures that a

- 1 maximum number of direct communications can be set up, since an adequate transmit power is initially used to reach the maximum number of mobile terminals.
- 5 According to the present invention, preferably the maximum transmit power level or in case that information about the link quality in-between the peer network devices is known an accordingly lower transmit power level is used as appropriate power level. The information about the radio link quality between peer network devices can either be taken from a topology map of the network
- 10 that shows the radio link quality between all network devices of the network or in case a message has recently been received from the network device to which the link quality is in question, it can be based on the received signal quality of this message.
- 15 The adjustment of the transmit power of the second network device of the peer network devices is performed in the same way as the adjustment of the first network device, but the message giving a recommendation to the first network device how to adjust its power level will be used by the first network device to measure the received signal quality and to give a recommendation to the second network device how to adjust its power level.
- 20

- According to a further preferred embodiment of the inventive method, a transmit power control is performed in that an adjustment of the transmit power of a network device is performed every time said device receives a recommendation
- 25 tion to change its transmit power level. Further preferably a recommendation to change the transmit power level is given to a transmitting device whenever the received power level exceeds a maximum deviation of the wanted received signals strength. Therewith, a dynamic update of the transmit power level is achieved which does not need an excitation from outside, e. g. from the central
 - 30 controller.

- Basically, every wireless network supporting direct mode can be adapted according to the present invention. Preferably the present invention is used within an IEEE 1394 based HIPERLAN type 2 network. A network device according to the present invention can either be an access point/central controller or a mobile terminal.
- 35

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1 The present invention and its numerous preferred embodiments will better be understood from the following detailed description of an exemplary embodiment thereof taken in conjunction of the accompanying drawings, in which

5 **Fig. 1** shows a network device according to the present invention;

Fig. 2 shows the messaging in-between the central controller and two mobile terminals during setup of a direct mode communication and transmit power control; and

Fig. 3 shows an exemplary wireless network.

10

The mobile terminal shown in Fig. 1 is adapted to perform a direct mode transmit power control according to the present invention. The shown mobile terminal has one antenna 1 which is connected to the movable terminal of a transmit/receive selection switch 2 which fixed terminals are respectively connected

15 to the transmitter and receiver signal path of the mobile terminal. In the receiver signal path a receiver 3 is directly connected to the respective fixed terminal of the transmit/receive selection switch 2. This receiver 3 produces a data and control signal input to a controller 6 and also outputs a signal wherefrom a signal quality measurement unit 5 can determine the received signal strength which is output to the controller 6. For the transmitter signal path the controller 6 outputs a data and control signal to a transmitter 4 which modulates, up-converts and amplifies this signal to a given signal strength which is indicated to the transmitter 4 by a control signal generated by the controller 6 and outputs the generated transmission signal to the respective fixed terminal of the transmit/receive selection switch 2. Bi-directionally connected to the controller are a user interface 7 and a memory 8. Furthermore, the controller 6 is connected to a direct mode transmit power control decoder 9 and a direct mode transmit power control encoder 10.

30 The direct mode transmit power control decoder 9 receives a respective control signal from the receiving path via the controller 6 and decodes it to supply the recommendation for a change in transmit power level to the controller 6. Based on this recommendation, the controller 6 determines the signal strength needed for transmission and supplies an appropriate signal strength control
35 signal to the transmitter 4 which then adapts its transmission power accordingly.

- 1 Based on the received signal strength determined by the signal quality measurement unit 5 which is communicated to the controller 6 and the wanted received signal strength of the receiver 3, which is known to the controller 6, a difference signal is generated within the controller 6 and supplied to the direct mode transmit power control encoder 10. Said direct mode transmit power control encoder 10 generates a control signal including a recommendation for power control and supplies it via the controller 6 as control signal to the transmitter 4. Said control signal is transmitted via the transmit/receive selection switch 2 and the antenna 1 to the peer mobile terminal adapting its transmission power accordingly in a similar way.

- Fig. 2 shows a typical message flow according to a preferred embodiment of the transmit power control according to the present invention. After the connection setup in-between a first mobile terminal having the medium access control identifier, i. e. MAC-ID, MT1 and a second mobile terminal 15 having the MAC-ID MT2, a central controller 18 with MAC-ID CC grants resources for the peer mobile terminals 1 and 15 to exchange transmit power control messages. As mentioned above, those messages mainly carry a recommendation to the peer mobile terminal to increase/decrease its transmit power level by a certain value. Furtheron, source and destination identifiers, i. e. the MAC-IDs MT1 and MT2, could be included so that the receiving mobile terminal can identify the link for which the recommendation is valid. This inclusion of the MAC-IDs is not necessary in all systems, since the receiving mobile terminal can identify the link for which the recommendation is valid on basis of the time slot in which the recommendation is transmitted. If wanted, the transmit power level of the source mobile terminal and its desired receive power level can also be included. The grant of resources for the peer mobile terminals 1 and 15 by the central controller 18 is performed in step S0.

- 30 In the following step S1 the first mobile terminal 1 sends a message to the second mobile terminal 15 comprising the information not to adjust the transmit power level and optionally both MAC-IDs as well as its own transmit power level and its desired received power level. The first mobile terminal 1 transmits this message either using the maximum transmit power level or, in case that 35 information about the link quality to the second mobile terminal 15 is known, an accordingly lower transmit power level. As stated above, such information about the radio link quality between all mobile terminals within a network can

- 1 be taken from a topology map of the network which might be created by the central controller 18 during a network calibration process.

Thereafter, the second mobile terminal 15 receives in step S2 this message,
5 measures the received signal quality and replies to the first mobile terminal 1 by sending a message with the recommendation how to adjust the transmit power level and optionally both MAC-IDs as well as its own transmitter power level and its desired received power level. The recommendation to the first mobile terminal 1 how to adjust its transmit power level is preferably given as a
10 certain value. The power level of the second mobile terminal 15 is set similar to the power level of the first mobile terminal 1.

In the last step S3 the first mobile terminal MT1 transmits the message with the recommendation how the second mobile terminal 15 should amend its
15 power level by a certain value and optionally both MAC-IDs as well as its own transmit power level and its desired received power level. This message is transmitted to the second mobile terminal 15 with the transmit power level adjusted according to the recommendation of the second mobile terminal generated and transmitted in step S2.

20 If time slots for the direct mode transmit power control according to the present invention are granted in each frame, three frames are required to perform the transmit power control. During this time data can be transmitted using the power level identified during calibration.

25 According to a further embodiment, the second mobile terminal 15 transmits its message in step S2 using an appropriate transmit power level based on the received signal quality from the first mobile terminal 1 and the desired received signal quality thereof. In this case, step S3 might be redundant and
30 therefore skipped. However, if the first mobile terminal 1 is located in a noisy environment and requires a higher signal strength from the second mobile terminal 15, step S3 should be carried out to adjust the transmit power level of the second mobile terminal 15 accordingly.

35 Preferably, the transmit power level gets dynamically updated every time one of the peer mobile terminals finds it necessary. Therefore, each mobile terminal monitors the received signal quality and if a mobile terminal detects a devia-

1 tion of a signal quality above a certain threshold, it notifies the peer mobile
terminal to increase or decrease its transmit power level accordingly with a
recommendation message according to the present invention. Preferably, the
threshold level is set to the wanted power level ± 3 dB.

5

As mentioned above, a wireless network to be adapted according to the present
invention is not necessarily, but preferably an IEEE 1394 based HIPERLAN
type 2 network and a network device according to the present invention is
preferably a mobile terminal to introduce a transmit power control for direct
10 mode, but according to the present invention also transmit power control
in-between the mobile terminal and the central controller/access point can be
performed.

To exchange the control messages which are generated according to the above
15 exemplary embodiment by the direct mode transmit power control encoder 10
and decoded by the direct mode transmit power control decoder 9, a dedicated
control channel can be used that conveys radio control protocol messages in
direct mode.

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Claims

1. Network device for a wireless network, **characterized by** means (5, 6, 9, 10) to adjust its transmit power on basis of a recommendation for the transmit power regulation received from another network device and to generate a recommendation for the transmit power regulation for another network device on basis of the transmission signal received from said other network device.

2. Network device according to claim 1, **characterized by** a transmit power control decoder (9) that receives a transmit power control signal and decodes therefrom a recommendation signal indicating the amount to change the transmit power.

3. Network device according to claim 1 or 2, **characterized by** a transmit power control encoder (10) that receives a transmit power deviation signal and encodes therefrom a transmit power control signal.

4. Network device according to anyone of claims 1 to 3, **characterized in that** it is a mobile terminal or a central controller.

5. Network device according to anyone of claims 1 to 4, **characterized in that** it is used in an IEEE 1394 based HIPERLAN type 2 network.

6. Method to perform a transmit power control in-between a first network device (1) and a second network device (15) of a wireless network, **characterized by** the following steps:

- transmitting a message from the first network device(1) to the second network device (15);

- measuring the received signal quality of the signal carrying said message within the second network device, comparing it to the wanted received signal quality within the second network device (15), and based on this generating and transmitting a recommendation from the second network device (15) to the first network device (1) how to adjust its transmit power; and

- adjusting the transmission power within the first network device (1) on basis of the received recommendation how to adjust its transmit power.

7. Method according to claim 6, **characterized by** the following additional

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1 steps:

- measuring the received signal quality of the signal carrying said recommendation within the first network device, comparing it to the wanted received signal quality within the first network device (1), and based on this generating and transmitting a recommendation from the first network device (1) to the second network device (15) how to adjust its transmit power; and
- adjusting the transmission power within the second network device (15) on basis of the received recommendation how to adjust its transmit power.

10 8. Method according to claim 6 or 7, **characterized in that** the first transmitted message from the first network device (1) to the second network device (15) and/or the signal carrying the recommendation for the first network device how to adjust its transmit power has the maximum transmit power level of the first network device (1).

15 9. Method according to claim 6 or 7, **characterized in that** the first transmitted message from the first network device (1) to the second network device (15) and/or the signal carrying the recommendation for the first network device how to adjust its transmit power has a transmit power level determined on basis of a topology map of the network indicating the quality of connectivity of all network devices within the network.

20 10. Method according to claim 6 or 7, **characterized in that** the signal carrying the recommendation for the first network device how to adjust its transmit power has a transmit power level determined on basis of an information indicating the wanted received power level and the transmit power level of the first network device (1) which is transmitted with the first transmitted message from the first network device (1) to the second network device (15) and the received power level of said message at the second network device (15).

30 11. Method according to anyone of claims 6 to 10, **characterized in that** a recommendation for a network device how to adjust its transmit power is always given from a peer network device in case the received power level exceeds a maximum deviation.

35 12. Method according to anyone of claims 6 to 10, **characterized in that** it is performed within a network device according to anyone of claims 1 to 6.

002220.05200

Abstract**Transmit Power Control for
Network Devices in a Wireless Network**

A transmit power control applicable for direct mode in a central controller based wireless network is described whereby direct mode indicates a direct communication of two network terminals (1, 15) without routing the traffic through the central controller (18). According to the present invention the transmit power control is performed by means of exchanging messages (S1, S2, S3) between the peer network devices (1, 15) in which recommendations for power control are carried. After grant of resources (S0) by the central controller (18) for the peer mobile terminals (1, 15) to exchange such messages which necessarily contain a recommendation to the peer network device to increase/decrease its transmit power level by a certain value, a first message (S1) is sent from one network device (1) to the other network device (15) which receives this message and measures its signal strength to determine the needed adjustment to set the transmit power level of the first device so that a desired received power level of the second device is achieved. This adjustment value is then transmitted in a second step (S2) as recommendation value whereafter the first device can similarly recommend an adjustment of the transmit power level of the second device on basis of the message received in the second step (S2) in a third step (S3).

(Fig. 2)

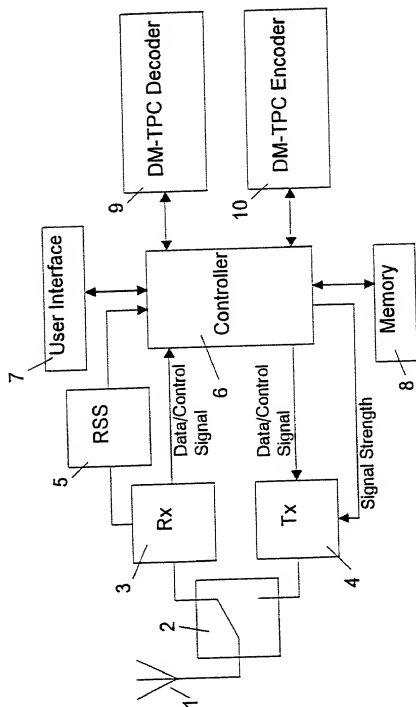


Fig. 1

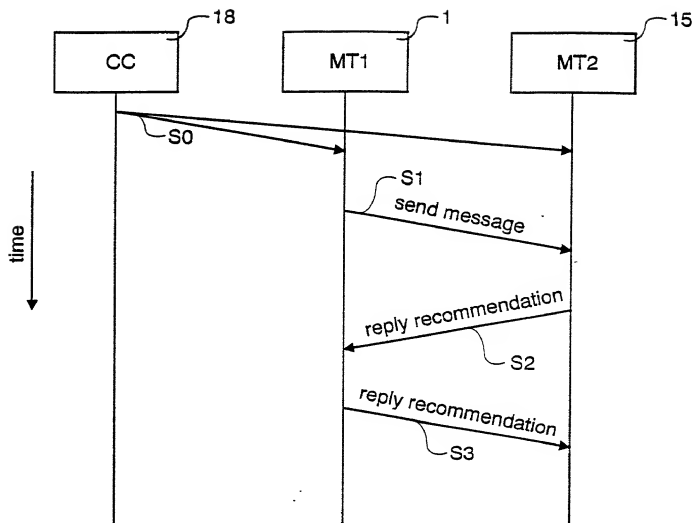


Fig. 2

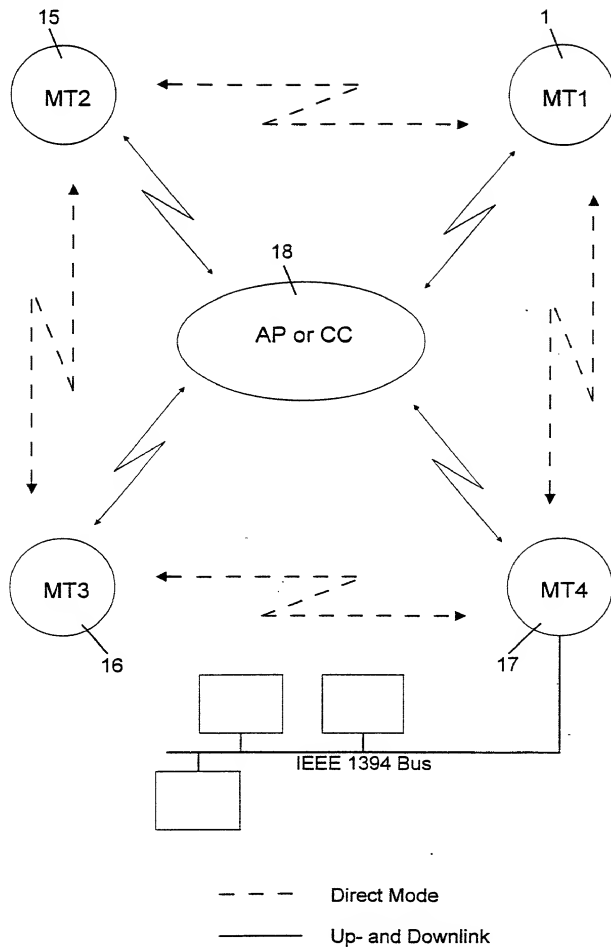


Fig. 3

DECLARATION FOR PATENT APPLICATION (JOINT OR SOLE)

(Under 37 CFR § 1.63; with Power of Attorney)

FROMMER LAWRENCE & HAUG LLP

FLH File No. 450117-02632

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

TRANSMIT POWER CONTROL FOR NETWORK DEVICES IN A WIRELESS NETWORK

the specification of which

X is attached hereto.

was filed on _____ as Application Serial No. _____.

with amendment(s) through _____ (if applicable, give dates).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) [list additional applications on separate page]: Priority Claimed:

<u>Number:</u>	<u>Country:</u>	<u>Filed (Day/Month/Year):</u>	<u>Yes</u>	<u>No</u>
99 112 130.2	Europe	23 June 1999	X	

99 112 130.2

Europe

23 June 1999

X

1

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Application(s) [list additional applications on separate page]:

App'n. Ser. Number: Filed (Day/Month/Year): Status (patented, pending, abandoned):

I hereby appoint WILLIAM S. FROMMER, Registration No. 25,506, and DENNIS M. SMID, Registration No. 34,930 for their duly appointed associates, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence address:

WILLIAM S. FROMMER, Esq.
c/o FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

Direct all telephone calls to:
(212) 588-0800
to the attention of:
WILLIAM S. FROMMER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S):

Signature: _____ Date: _____

Full name of sole or first inventor: Besma KRAIEM
Residence: Fellbach, Germany
Citizenship: Tunisia

Signature: _____ Date: _____

Full name of 2nd joint inventor (if any): Janos ENDERLEIN
Residence: Stuttgart, Germany
Citizenship: Germany

Signature: _____ Date: _____

Full name of 3rd joint inventor (if any):
Residence:
Citizenship:

[Similarly list additional inventors on separate page]

Post Office Address(es) of inventor(s):

[if all inventors have the same post office address]

SONY International (Europe) GmbH
Kemperplatz 1
D-10785 Berlin
GERMANY

Note: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, individual Non-Inventor].

Note: A post office address must be provided for each inventor.